

FEB 10 2005

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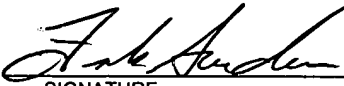
|   |  |   |
|---|--|---|
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>   |  | ATTORNEY'S DOCKET NUMBER<br>93 (1087-71)                            |
| INTERNATIONAL APPLICATION NO.<br>PCT/IB2003/004661  |  | U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br><b>10/524395</b> |
| INTERNATIONAL FILING DATE<br>19 September 2003  |  | PRIORITY DATE CLAIMED<br>20 September 2002                          |
| TITLE OF INVENTION<br>ANTIGEN UPTAKE RECEPTOR FOR CANDIDA ALBICANS ON DENDRITIC CELLS   |  |   |
| APPLICANT(S) FOR DO/EO/US<br><b>Carl Gustav Figdor and Ruurd Torensma</b>   |  |   |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |  |   |
| <p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p style="margin-left: 20px;">b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> is attached hereto.</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 20px;">d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p><b>Items 11 to 20 below concern document(s) or information included:</b></p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A preliminary amendment.</p> <p>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: <b>Return Receipt Post Card, check in the amount of \$1,800</b></p> |  |   |

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EV 464570641 US addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: February 10, 2005

Francesco Sardone

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|  |              |   |         |   |                     |  |
|--|--------------|---|---------|---|---------------------|--|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5)<br><b>10/524395</b>  |              | INTERNATIONAL APPLICATION NO.<br><b>PCT/IB2003/004661</b>                     |         | ATTORNEY'S DOCKET NUMBER<br><b>93 (1087-71)</b>   |                     |  |
| The following fees have been submitted   |              |   |         | <b>CALCULATIONS</b>   | <b>PTO USE ONLY</b> |  |
| 21. <input checked="" type="checkbox"/> Basic national fee..... \$300  |              |   |         | \$ 300  |                     |  |
| 22. <input checked="" type="checkbox"/> Examination fee<br>If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... \$100<br>All other situations..... \$200  |              |   |         | \$ 200  |                     |  |
| 23. <input checked="" type="checkbox"/> Search fee<br>Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100<br>International Search Report prepared and provided to the Office..... \$400<br>All other situations..... \$500  |              |   |         | \$ 500  |                     |  |
| <b>TOTAL OF 21, 22 and 23 =</b>  |              |   |         | <b>\$ 1000</b>  |                     |  |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).<br>The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  |              |   |         |   |                     |  |
| Total Sheets   | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE    |   |                     |  |
| 48 - 100 =   | /50 =        |   | x \$250 | \$ 0  |                     |  |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).   |              |   |         | \$  |                     |  |
| CLAIMS   | NUMBER FILED | NUMBER EXTRA  | RATE    | \$  |                     |  |
| Total claims   | 32 - 20 =    | 12  | x \$ 50 | \$ 600  |                     |  |
| Independent claims   | 4 - 3 =      | 1   | x \$200 | \$ 200  |                     |  |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)  |              |   | + \$360 | \$  |                     |  |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              |   |         | <b>\$ 1800</b>  |                     |  |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.   |              |   |         |   |                     |  |
| <b>SUBTOTAL =</b>  |              |   |         | <b>\$ 1800</b>  |                     |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  |              |   |         | \$  |                     |  |
| <b>TOTAL NATIONAL FEE =</b>  |              |   |         | <b>\$ 1800</b>  |                     |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property   |              |   |         | \$  |                     |  |
| <b>TOTAL FEES ENCLOSED =</b>   |              |   |         | <b>\$ 1800</b>  |                     |  |
|  |              |   |         | Amount to be refunded:  | \$                  |  |
|  |              |   |         | Amount to be charged:   | \$                  |  |
| <p>a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1,800.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.<br/>A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-2140</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.</p> |              |   |         |   |                     |  |
| <p><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <p>Carter, DeLuca, Farrell &amp; Schmidt, LLP<br/>445 Broad Hollow Road<br/>Suite 225<br/>Melville, New York, 11747<br/>USA</p>  |              |   |         |   |                     |  |
|  |              |   |         | <br>SIGNATURE<br>Francesco Sardone<br>NAME<br>47,918<br>REGISTRATION NUMBER |                     |  |

PATENT APPLICATION DIVISION

1/2

2005 MAR 30 PM 2:10

PATENT APPLICATION  
Attorney Docket No. 93 (1087-71)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Carl Gustav Figdor et al.

Serial No: 10/524,395

Group Art: NYK

Filed: February 10, 2005

Examiner: NYK

For: ANTIGEN UPTAKE RECEPTOR FOR  
CANDIDA ALBICANS ON DENDRITIC CELLS

05/31/2005 RWHITE1 00000003 10524395

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

|            |           |
|------------|-----------|
| 01 FC:2631 |           |
| 02 FC:2642 | 150.00 OP |
| 03 FC:2633 | 200.00 OP |
| 04 FC:2615 | 100.00 OP |
| 05 FC:2614 | 300.00 OP |
|            | 100.00 OP |

Attention: Refund Section, Accounting Division, Office of Finance

2631

2642

2633

2615 - 12

I. REFUND REQUEST

2614 - 1

**REQUEST FOR REFUND**  
**(Improper Charge of Deposit Account)**

This is a request for a refund with respect to the filing fee submitted on February 10, 2005

for the above-identified application.

A copy of the "Transmittal Letter" in which the error referred to occurs accompanies this request. Also enclosed is a copy of check #5548 in the amount of \$1,800.00.

**CERTIFICATION UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Patent and Trademark Office on the date shown below with sufficient postage and is being addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

10/16  
Dated: March 23, 2005

  
Frank Sardone

|            |            |
|------------|------------|
| 02 FC:1632 | -300.00 OP |
| 03 FC:1633 | -500.00 OP |
| 04 FC:1615 | -200.00 OP |
| 05 FC:1614 | -600.00 OP |
|            | -200.00 OP |

II. FEES CHARGED FOR WHICH REFUND REQUESTED

|   | AMOUNT OF REFUND<br>REQUESTED |
|---|-------------------------------|
| <input checked="" type="checkbox"/> filing fee  | <u>\$900.00</u>               |
| <input type="checkbox"/> surcharge for filing the basic filing fee<br>on a date later than the filing date of the<br>application (37 C.F.R. §1.16(e))<br><br>and/or |                               |
| <input type="checkbox"/> surcharge for filing the oath or declaration<br>on a date later than the filing date of the<br>application (37 C.F.R. §1.16(e))            |                               |
| <input type="checkbox"/> extension of term  |                               |
| <input type="checkbox"/> first month  |                               |
| <input type="checkbox"/> second month   |                               |
| <input type="checkbox"/> third month  |                               |
| <input type="checkbox"/> fourth month   |                               |
| <input type="checkbox"/> excess claims  |                               |
| <input type="checkbox"/> issue fee  |                               |
| <input type="checkbox"/> petition fee   |                               |
| <input type="checkbox"/> patent maintenance fee   |                               |
| <input type="checkbox"/> first maintenance fee  |                               |
| <input type="checkbox"/> second maintenance fee   |                               |
| <input type="checkbox"/> third maintenance fee  |                               |
| <input type="checkbox"/> patent maintenance fee surcharge   |                               |
| <input type="checkbox"/> other:   |                               |
| <br>TOTAL REFUND REQUESTED  | <br><u>\$900.00</u>           |

III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR

It is respectfully submitted that the above-identified application errantly identified the applicant as a Large Entity at the time of filing of said application.

It is respectfully submitted that the applicant, in fact, qualifies for Small Entity Status.

Accordingly, pursuant to 37 C.F.R. 1.27(c), applicant asserts that they are a Small Entity and thus qualify for Small Entity Status.

A refund in the amount of \$900.00 is respectfully requested.

Additionally, it is requested that the filing receipt be issued to reflect the Small Entity Status of the applicant.

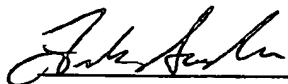
IV. MANNER OF REFUND

Please make refund by

☒ crediting Deposit Account No. 50-2140

☐ refunding payment.

Respectfully requested,



Frank Sardone  
Reg. No. 47,918  
Attorney for Applicant

**Carter, DeLuca, Farrell & Schmidt, LLP**  
445 Broad Hollow Road, Suite 225  
Melville, New York 11747  
Tel.: (631) 501-5700  
Fax: (631) 501-3526

FS/dag

Repln. Ref: 05/31/2005 RWHITE1 0015402300  
DAH:502140 Name/Number:10524395  
FC: 9204 \$950.00 CR

UNITED STATES PATENT & TRADEMARK OFFICE  
Washington, D.C. 20231

500 5/27/05

| REQUEST FOR PATENT FEE REFUND                              |                      |   |               |
|--|----------------------|---|---------------|
| 1 Date of Request: <u>3/30/05</u>                          |                      | 2 Serial/Patent # <u>10/524395</u>  |               |
| 3 Please refund the following fee(s):                      |                      | 4 PAPER NUMBER  | 5 DATE FILED  |
| <input checked="" type="checkbox"/> Filing                 | <u>Change entity</u> |   |               |
| <input type="checkbox"/> Amendment                         |                      |   | \$ <u>950</u> |
| <input type="checkbox"/> Extension of Time                 |                      |   | \$            |
| <input type="checkbox"/> Notice of Appeal/Appeal           |                      |   | \$            |
| <input type="checkbox"/> Petition                          |                      |   | \$            |
| <input type="checkbox"/> Issue                             |                      |   | \$            |
| <input type="checkbox"/> Cert of Correction/Terminal Disc. |                      |   | \$            |
| <input type="checkbox"/> Maintenance                       |                      |   | \$            |
| <input type="checkbox"/> Assignment                        |                      |   | \$            |
| <input type="checkbox"/> Other                             |                      |   | \$            |
|  |                      | 7 TOTAL AMOUNT OF REFUND  |               |
|  |                      | \$ <u>950</u>   |               |
|  |                      | 8 TO BE REFUNDED BY:  |               |
|  |                      | <input checked="" type="checkbox"/> Treasury Check<br><input checked="" type="checkbox"/> Credit Deposit A/C #: <u>50--2140</u> |               |
| 9 REASON:  |                      |   |               |
| <input checked="" type="checkbox"/> Overpayment            |                      |   |               |
| <input type="checkbox"/> Duplicate Payment                 |                      |   |               |
| <input type="checkbox"/> No Fee Due (Explanation):         |                      |   |               |
|  |                      |   |               |
|  |                      |   |               |
|  |                      |   |               |
| 11 REFUND REQUESTED BY:                                    |                      |   |               |
| TYPED/PRINTED NAME: <u>Rita White</u>                      |                      | TITLE: <u>Legal Assistant Examiner</u>  |               |
| SIGNATURE: <u>Rita White</u>                               |                      | PHONE: <u>7308-9140-xt 231</u>  |               |
| OFFICE: <u>DO/EO</u>                                       |                      |   |               |
| ***** THIS SPACE RESERVED FOR FINANCE USE ONLY: *****      |                      |   |               |
| APPROVED: _____  |                      | DATE: _____   |               |

Instructions for completion of this form appear on the back. After completion, attach white and yellow copies to the official file and mail or hand-carry to:

CARTER, DeLUCA, FARRELL & SCHMIDT LLP

ATTORNEYS AT LAW  
445 BROAD HOLLOW RD, SUITE 225  
MELVILLE, NY 11747



North Fork Bank

5548

127102005

PAY TO THE  
ORDER OF Commissioner of Patents

\$1,800.00

One Thousand Eight Hundred and 00/100

DOLLARS

COMMISSIONER OF PATENTS

MEMO: 1087-71 US Nat'l Stage Appln

⑈005548⑈ ⑆021407912⑆ ⑆2454⑈00308⑈4⑈